**Principal Investigator Certifications and Assurances**

**Conflict of Interest**

Do **you\*** OR **anyone\*** involved in this research who has responsibility for the design, conduct or reporting of the research have a relationship or receive payment for services or have stock or stock options in the proposed sponsor, vendor(s), or subcontractor(s) or in a company that would be interested in the study results but is not sponsoring the study?

No  Yes If Yes, please attach a description of the potential conflict.

**By signing this form, the Principal Investigator is assuring that all parties defined above have complied with the above requirement for disclosure of financial interest/relationship related to this proposal.**

Financial interest is defined as anything of monetary value including, but not limited to, salary; payments for services, e.g., consulting fees or honoraria; equity interests, e.g., stocks, stock options or other ownership interests; intellectual property rights, e.g., patents, copyrights and royalties from such rights; and service as an officer, director or other fiduciary role for a financially interested company.

Non-financial interest is defined as interests personal to the investigators that may lead to a real or potential conflict of interest with proposed research. This may include service on boards or committees, e.g., scientific advisory boards, concurrent obligations, etc.

See the next page for more information on Conflict of Interest disclosures.

**\*Includes spouse/domestic partner, and dependent children.**

**Application Submission/Relationship to Administering Institution**

Principal Investigator/Program Director Assurance: I understand that as an employee, either with or without compensation, of VA Palo Alto Health Care System (VAPAHCS), the Palo Alto Institute for Research and Education (PAVIR) is the appropriate administering institution for this award. My relationship with PAVIR is derived from Title 38 USC §7361-7366 which authorizes VA medical centers to establish nonprofit research and education corporations. I agree to abide by the policies of both VAPAHCS and PAVIR. With respect to this application, I certify that the statements herein are true, complete and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. I agree to accept responsibility for the scientific conduct of the project/program and to provide the required progress reports if an award is made as a result of this application.

Further, if any conflict or potential conflict related to this application arises I will disclose such conflict to PAVIR immediately.

For project titled:

PI Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

PI Name:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Kerstin Lynam, CEO

This guidance is applicable to faculty in Stanford’s School of Medicine and is excerpted from the SoM disclosure document. The same principles may be used as guidance for any PAVIR administered activities.

**How to Determine Disclosure Requirements**

**What must be disclosed?**

1. Any relationship such as unpaid consultant, founder, or employee;
2. Payment for services such as consulting, service on an advisory board, or giving talks;
3. Stock or stock options;
4. Receipt of gift funds from the company the individual has a relationship with

**Who must disclose?**

1. Principal investigator**\***
2. Any other participant\* in the research who has responsibility for design, conduct, or reporting of the research, or in other words anyone who has independent responsibility for the research or research results;

***\* (this includes spouse/domestic partner, and dependent child(ren);***

**When must it be disclosed?**

1. When the relationship or financial interest is related to the company sponsoring the study (i.e. consulting for a company sponsoring the research);
2. When the relationship or financial interest is indirectly related to the study:
   1. the company is supplying a product being studied;
   2. the study will be purchasing materials, supplies or equipment from a company in which there is a relationship;
   3. or the results of the research would be of interest to the company in which there is a relationship;
3. At application or renewal, or when there is a new reportable interest.

**What happens?**

For Stanford faculty, all personal financial interests related to Stanford activities must be reported, regardless of dollar amount. All reported financial interests will be reviewed by the Conflict of Interest Review Program (COIRP) to determine if a significant conflict of interest exists. For additional information or to locate the ad hoc disclosure form see:

<http://med.stanford.edu/coi>.

For all others, conflict disclosures will be handled in accordance with Health Care System Memorandum No. 151-09-04.